



SECTOR \$ ✓

Please type a plus sign (+) inside this box

PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/627,694
	Filing Date	July 28, 2000
	First Named Inventor	Houghton, et al.
	Group Art Unit	1642
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	MSK.P-026US2

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Preliminary Amendment
<input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	OPPEDAHL & LARSON LLP
Signature	<i>Nancy Larson</i>
Date	November 3, 2000

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 11/3/00		
Typed or printed name	Linda L. Orr	
Signature	<i>Linda L. Orr</i>	Date 11/3/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/627,694	07/28/2000	? Houghton	MSKP026US2

021121
OPPEDAHL AND LARSON LLP
P O BOX 5068
Dillon, CO 80435-5068



FORMALITIES LETTER



OC000000005403392

Date Mailed: 09/15/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/09/2000 HVUONG1 00000042 09627694

01 FC:105

130.00 OP



MSK.P-026US2
PATENT APPLICATION
November 3, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: HOUGHTON, et al.
Serial No.: 09/627,694
Filed: July 28, 2000
For: Stimulation of an Immune Response to Differentiation Antigen Stimulated
by Altered Antigen

RESPONSE TO NOTICE TO FILE MISSING PARTS

Hon. Commissioner of Patent and Trademarks

Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts mailed September 15, 2000,
Applicants enclose an executed declaration of the inventors and a check for \$130.00 for the
surcharge. In the event additional fees are deemed payable, the Commissioner is authorized to
charge such fees to Deposit Account No. 15-0610.

Applicant also submits a Preliminary Amendment for filing in this case.

Respectfully submitted,

Nancy J. Parsons
PTO Reg. No. 40,364
Patent Agent
(970) 468-6600

Enclosures

I hereby certify that this paper and the attachments named herein are being deposited with the United
States Postal Service as first class mail in an envelope addressed to Commissioner of Patents and
Trademarks, Washington, D.C. 20231 on **November 3, 2000**

November 3, 2000
Date of Signature

Linda L. Orr